Precision Measurement of the \((e^+ + e^-)\) Flux in Primary Cosmic Rays from 0.5 GeV to 1 TeV with the Alpha Magnetic Spectrometer on the International Space Station
We present a measurement of the cosmic ray \((e^+ + e^-)\) flux in the range 0.5 GeV to 1 TeV based on the analysis of 10.6 million \((e^+ + e^-)\) events collected by AMS. The statistics and the resolution of AMS provide a precision measurement of the flux. The flux is smooth and reveals new and distinct information. Above 30.2 GeV, the flux can be described by a single power law with a spectral index \(\gamma = -3.170 \pm 0.008\) (stat + syst) \(\pm 0.008\) (energy scale).

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Measurements of cosmic rays by the Alpha Magnetic Spectrometer (AMS) [1–3] of the positron fraction and the positron flux \(\Phi(e^+)\) have been carried out up to 500 GeV and of the electron flux \(\Phi(e^-)\) up to 700 GeV. The results generated widespread interest and discussions on the origin of high-energy positrons and electrons [4]. They provide information on the combined flux \(\Phi(e^+ + e^-)\) up to 500 GeV. In this Letter we present a dedicated measurement of \(\Phi(e^+ + e^-)\) up to 1 TeV with reduced statistical and systematic errors.

AMS.—AMS is a general purpose high-energy particle physics detector installed on the International Space Station (ISS) to conduct a unique long-duration (\(~20\)-yr) mission of fundamental physics research in space [5]. It consists of a tracker, a magnet, time of flight (TOF) and anticoincidence counters, a ring imaging Čerenkov detector, an electromagnetic calorimeter (ECAL), and a transition radiation detector (TRD).

The nine layer double-sided silicon microstrip tracker accurately determines the trajectory and absolute charge \(|Z|\) of cosmic rays using multiple measurements of the coordinates and energy loss. Together with the 0.14 T permanent magnet, the tracker measures the particle rigidity \(R = p/Z\), where \(p\) is the momentum. The maximum detectable rigidity is 2 TV over a lever arm of 3 m.

The four TOF planes trigger the readout of all the detectors and measure the particle velocity and direction. The high efficiency (\(\sim 99.999\%\)) anticoincidence counters
inside the magnet bore are used to reject particles outside the geometric acceptance. The tracker, TOF, and TRD measure \(|Z|\) independently. The curvature measured with the tracker and the magnet and the direction of the particle measured with the TOF yield the sign of the charge.

The 3-dimensional imaging capability of the 17 radiation length \((17X_0)\) ECAL allows for an accurate measurement of the \((e^+ + e^-)\) energy \(E\) scaled to the top of AMS and of the shower shape. An ECAL estimator, based on a boosted decision tree algorithm [6], is used to differentiate \((e^+ + e^-)\) from protons by exploiting their different shower shapes.

To further differentiate between \((e^+ + e^-)\) and protons, signals from the 20 layers of proportional tubes in the TRD are combined into a TRD classifier formed from the product of the probabilities of the \((e^+ + e^-)\) hypothesis. This TRD classifier has the same differentiation power as the TRD likelihood variable used in [3] but has a different scale.

The timing, location, and attitude are determined by a combination of GPS units affixed to AMS and to the ISS. AMS operates continuously on the ISS and is monitored and controlled around the clock from the ground. The detector performance is steady over time.

The entire detector has been extensively calibrated in a test beam at CERN with \(e^+\) and \(e^-\) from 10 to 290 GeV/c, with protons at 180 and 400 GeV/c, and with \(\pi^\pm\) from 10 to 180 GeV/c which produce transition radiation equivalent to protons up to 1.2 TeV/c. Measurements with 18 different energies and particles at 2000 positions were performed. A Monte Carlo program based on the GEANT 4.9.4 package [7] is used to simulate physics processes and detector signals.

**Analysis.**—Over \(41 \times 10^9\) events collected from May 19, 2011, to November 26, 2013, have been analyzed. The isotropic \((e^+ + e^-)\) flux is measured in each energy bin \(E\), of width \(\Delta E\), as

\[
\Phi(e^+ + e^-) = \frac{N(E)}{A_{\text{eff}}(E) \epsilon_{\text{trig}}(E) \epsilon_{\text{ECAL}}(E) T(E) \Delta E}
\]  

(1)

where \(N\) is the number of \((e^+ + e^-)\) events, \(A_{\text{eff}}\) is the effective detector acceptance, \(\epsilon_{\text{trig}}\) is the trigger efficiency, \(\epsilon_{\text{ECAL}}\) is the signal selection efficiency based on the ECAL estimator, and \(T\) is the exposure time.

Equation (1) is evaluated independently in 74 energy bins from 0.5 GeV to 1 TeV. The bin width is chosen to be at least two times the energy resolution. The bin-to-bin migration error is \(\sim 1\%\) at 1 GeV decreasing to 0.2\% above 10 GeV. With increasing energy the bin width smoothly increases to ensure adequate statistics in each bin.

The absolute energy scale is verified by using minimum ionizing particles and the ratio \(E/p\). These results are compared with the test beam values where the beam energy is known to high precision. This comparison limits the uncertainty of the absolute energy scale to 2\% in the range covered by the test beam results, 10–290 GeV. Below 10 GeV it increases to 5\% at 0.5 GeV and above 290 GeV to 5\% at 1 TeV. This is treated as an uncertainty on the bin boundaries.

Events are selected requiring the presence of a down-ward-going, \(\beta > 0.83\) particle which hits in at least 8 of the 20 TRD layers and a single track in the tracker passing through the ECAL. Events with an energy deposition compatible with a minimum ionizing particle in the first 5\(X_0\) of the ECAL are rejected. Events with \(|Z| > 1\) are rejected using \(dE/dx\) in the tracker and TRD. Secondary particles of atmospheric origin [8] are rejected with the cutoff requirement discussed below.

In each energy bin, TRD classifier reference spectra of the \((e^+ + e^-)\) signal and the proton background are used as templates. The templates are constructed from the data using pure samples of \(e^-\) and protons. These samples are selected using the ECAL estimator, \(E/p\) matching, and the charge sign. The templates are evaluated separately in each bin; however, the signal templates show no dependence on the energy above \(\sim 10\) GeV. Therefore, all the \(e^-\) selected in the range 15.1–83.4 GeV are taken as a unique signal template up to the highest energies.

The sum of the signal and background templates is fit to the data by varying their normalizations. This yields the number of signal \((e^+ + e^-)\) events \(N\) and the number of background (proton) events. It also yields the statistical errors on \(N\) and the number of background events. These errors yield the statistical error on the flux. Figure 1 presents the data, the fit, and the signal and background templates for one bin.

The effective detector acceptance is

\[
A_{\text{eff}} = A_{\text{geom}} \epsilon_{\text{sel}} (1 + \delta),
\]

(2)

where \(A_{\text{geom}}\) is the geometric acceptance, \(\epsilon_{\text{sel}}\) is the event selection efficiency, and \(\delta\) is a data-derived correction. The
acceptance for a particle that passes through the active volumes of the tracker, TRD, TOF, and ECAL is found to be $A_{\text{geom}} \approx 550 \, \text{cm}^2 \, \text{sr}$ and $\epsilon_{\text{sel}}$ has typical values of 90% at 10 GeV, 83% at 100 GeV, and 70% at 1 TeV. Both $A_{\text{geom}}$ and $\epsilon_{\text{sel}}$ are evaluated from the Monte Carlo simulation.

The small correction to the acceptance $\delta$ is estimated by comparing the data and the Monte Carlo simulation efficiencies for every selection cut using information from the detectors unrelated to that cut. This correction is found to be a smooth, slowly varying function of energy. It is $-0.04$ at 2 GeV and $-0.03$ at 1 TeV.

The trigger efficiency is determined from data. The data acquisition system is triggered by the coincidence of all four TOF planes. AMS also records unbiased triggers. As seen in Table I, the selection efficiencies for every selection cut using information from the detectors unrelated to that cut. This correction is found to be a smooth, slowly varying function of energy. It is $-0.04$ at 2 GeV and $-0.03$ at 1 TeV.

The ECAL estimator efficiency $\epsilon_{\text{ECAL}}$ is measured from the data using negative rigidity samples and the selection cuts. $\epsilon_{\text{ECAL}}$ values range from 75% to 95% for different energy bins, depending on the number of signal and background events.

The orbital parameters and the status of the detectors are recorded for each second of data-taking. Live-time weighted seconds are summed to obtain the exposure time in a given energy bin only when the minimum bin energy exceeds 1.2 times the maximum Størmer cutoff [9] for $|Z|=1$ particles in the AMS geometric acceptance. The exposure time does not include time spent in the South Atlantic Anomaly, time during TRD gas refills, and time when the AMS $z$ axis was more than 40° from the local zenith. For the energy bins above $\sim 30$ GeV, where the effects of the geomagnetic cutoff are negligible, the exposure time is $6.2 \times 10^7$ seconds. It decreases to $1.5 \times 10^7$ seconds at 5 GeV.

A total of $10.6 \times 10^6$ ($e^+ + e^-$) events have been identified with energies from 0.5 GeV to 1 TeV. A major experimental advantage of the combined flux analysis compared to the measurement of the individual positron and electron fluxes, particularly at high energies, is that the selection does not depend on the charge sign. Another advantage is that it has a higher overall efficiency. Consequently, this measurement is extended to 1 TeV with less overall uncertainty over the entire energy range. Systematic uncertainties arise from (i) the event selection, (ii) the acceptance, and (iii) bin-to-bin migration.

To evaluate the systematic uncertainty from the event selection which includes the uncertainty from the construction of the templates, 2000 trials were performed in each energy bin. Each trial consisted of the complete analysis. The trials were performed with different values of the ECAL estimator cut and different values of selection cuts used to construct the templates. The 2000 trials are performed in an interval of $\pm 5\%$ in efficiency around the value of the ECAL estimator cut which minimizes the combined statistical and systematic uncertainties. For the 500–700 GeV bin, Fig. 2(a) shows the stability of the number of signal events corrected by the ECAL estimator selection efficiency $N_E = N/\epsilon_{\text{ECAL}}$, as a function of $\epsilon_{\text{ECAL}}$. As seen, $N_E$ does not depend on the efficiency and this was found to be the case in every energy bin. Figure 2(b) shows the distribution of $N_E$ for the 2000 trials in this bin. The median value of the distribution determines the flux. The rms spread of the distribution provides an evaluation of the stability of the measurement. The difference between the width of this distribution in data and the expected statistical fluctuations quantifies the systematic uncertainty as $\lesssim 1\%$ below $\sim 200$ GeV increasing to 4% in the 500–700 GeV bin. This is the main source of systematic uncertainty above $\sim 500$ GeV.

The systematic error on the acceptance is given by the uncertainty on $\delta$. It is estimated from data to Monte Carlo simulation comparisons. Above 3 GeV a systematic error of 2% on $(1 + \delta)$ is obtained from the contributions of all the cuts. Below 3 GeV the uncertainty increases to 6% at 1 GeV. This is the major contribution to the systematic error below $\sim 500$ GeV. The systematic error on the acceptance includes a bin-to-bin correlation of 1.4% over the entire energy range.

Results.—The measured ($e^+ + e^-$) flux is presented in Table I as a function of the energy at the top of AMS together with its statistical and systematic errors, where the systematic errors are the quadratic sum of the systematic uncertainties listed above, (i)-(iii). The table also contains a representative value of the energy in the bin, $\bar{E}$, for a flux $\propto E^{-3}$ [10] and the error on $\bar{E}$ according to the energy scale uncertainty. Several independent analyses were performed on the same data sample by different study groups. The results of those analyses are consistent with the results presented here. The flux multiplied by $E^2$ is presented in Fig. 3, together with previous measurements [11–17]. Below $\sim 10$ GeV, the behavior of $\Phi(e^+ + e^-)$ is affected.
by solar modulation. However, above 20 GeV the effects of solar modulation are insignificant within the current experimental accuracy. The data show no structures. In particular, from 10 GeV to 1 TeV the flux is smooth and reveals new and distinct information.

As seen in Fig. 3, the flux cannot be described by a single power law ($\Phi \propto E^\gamma$) over the entire range. To estimate a lower energy limit above which a single power law describes the flux, we use energy intervals with starting energies from 0.5 GeV and increasing bin by bin. The ending energy for all intervals is fixed at 1 TeV. Each interval is split into two sections with a boundary between the starting energy and 1 TeV. Each of the two sections is fit with a single power law and we obtain two spectral indices. The lowest starting energy of the interval that gives consistent spectral indices at the 90% C.L. for any boundary yields a lower limit of 30.2 GeV.

To quantitatively examine the energy dependence of the flux in a model independent way, the flux is fit with a spectral index $\gamma$ as

$$\Phi(e^+ + e^-) = CE^\gamma \text{ or } \gamma = d[\log(\Phi)]/d[\log(E)]$$

(E in GeV and $C$ is a normalization) over a sliding energy window. The width of the window varies with energy to have sufficient sensitivity to determine the spectral index. The resulting energy dependence of the fitted spectral index is shown in Fig. 4(a), where the shading indicates the

<table>
<thead>
<tr>
<th>Energy (GeV)</th>
<th>$\bar{E}$ (GeV)</th>
<th>$\Phi(e^+ + e^-) \pm \sigma_{\text{stat}} \pm \sigma_{\text{syst}}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>44.0–46.6</td>
<td>45.3 $\pm$ 0.9</td>
<td>(1.81 $\pm$ 0.02 $\pm$ 0.04) $\times 10^{-3}$</td>
</tr>
<tr>
<td>46.6–49.3</td>
<td>47.9 $\pm$ 1.0</td>
<td>(1.49 $\pm$ 0.01 $\pm$ 0.03) $\times 10^{-3}$</td>
</tr>
<tr>
<td>49.3–52.3</td>
<td>50.8 $\pm$ 1.0</td>
<td>(1.24 $\pm$ 0.01 $\pm$ 0.03) $\times 10^{-3}$</td>
</tr>
<tr>
<td>52.3–55.6</td>
<td>53.9 $\pm$ 1.1</td>
<td>(1.04 $\pm$ 0.01 $\pm$ 0.02) $\times 10^{-3}$</td>
</tr>
<tr>
<td>55.6–59.1</td>
<td>57.3 $\pm$ 1.1</td>
<td>(8.62 $\pm$ 0.10 $\pm$ 0.18) $\times 10^{-4}$</td>
</tr>
<tr>
<td>59.1–63.0</td>
<td>61.0 $\pm$ 1.2</td>
<td>(7.06 $\pm$ 0.09 $\pm$ 0.15) $\times 10^{-4}$</td>
</tr>
<tr>
<td>63.0–67.3</td>
<td>65.1 $\pm$ 1.3</td>
<td>(5.62 $\pm$ 0.07 $\pm$ 0.12) $\times 10^{-4}$</td>
</tr>
<tr>
<td>67.3–72.0</td>
<td>69.6 $\pm$ 1.4</td>
<td>(4.56 $\pm$ 0.06 $\pm$ 0.09) $\times 10^{-4}$</td>
</tr>
<tr>
<td>72.0–77.4</td>
<td>74.6 $\pm$ 1.5</td>
<td>(3.66 $\pm$ 0.05 $\pm$ 0.08) $\times 10^{-4}$</td>
</tr>
<tr>
<td>77.4–83.4</td>
<td>80.3 $\pm$ 1.6</td>
<td>(2.91 $\pm$ 0.04 $\pm$ 0.06) $\times 10^{-4}$</td>
</tr>
<tr>
<td>83.4–90.2</td>
<td>86.7 $\pm$ 1.7</td>
<td>(2.32 $\pm$ 0.04 $\pm$ 0.05) $\times 10^{-4}$</td>
</tr>
<tr>
<td>90.2–98.1</td>
<td>94.0 $\pm$ 1.9</td>
<td>(1.78 $\pm$ 0.03 $\pm$ 0.04) $\times 10^{-4}$</td>
</tr>
<tr>
<td>98–107</td>
<td>103 $\pm$ 2</td>
<td>(1.37 $\pm$ 0.03 $\pm$ 0.03) $\times 10^{-4}$</td>
</tr>
<tr>
<td>107–118</td>
<td>113 $\pm$ 2</td>
<td>(1.01 $\pm$ 0.02 $\pm$ 0.02) $\times 10^{-4}$</td>
</tr>
<tr>
<td>118–132</td>
<td>125 $\pm$ 3</td>
<td>(7.26 $\pm$ 0.15 $\pm$ 0.15) $\times 10^{-5}$</td>
</tr>
<tr>
<td>132–149</td>
<td>140 $\pm$ 3</td>
<td>(5.04 $\pm$ 0.12 $\pm$ 0.11) $\times 10^{-5}$</td>
</tr>
<tr>
<td>149–170</td>
<td>159 $\pm$ 3</td>
<td>(3.55 $\pm$ 0.09 $\pm$ 0.08) $\times 10^{-5}$</td>
</tr>
<tr>
<td>170–198</td>
<td>183 $\pm$ 4</td>
<td>(2.17 $\pm$ 0.06 $\pm$ 0.05) $\times 10^{-5}$</td>
</tr>
<tr>
<td>198–237</td>
<td>216 $\pm$ 4</td>
<td>(1.27 $\pm$ 0.04 $\pm$ 0.03) $\times 10^{-5}$</td>
</tr>
<tr>
<td>237–290</td>
<td>262 $\pm$ 5</td>
<td>(6.89 $\pm$ 0.27 $\pm$ 0.16) $\times 10^{-6}$</td>
</tr>
<tr>
<td>290–370</td>
<td>327 $\pm$ 7</td>
<td>(3.45 $\pm$ 0.17 $\pm$ 0.09) $\times 10^{-6}$</td>
</tr>
<tr>
<td>370–500</td>
<td>429 $\pm$ 13</td>
<td>(1.45 $\pm$ 0.10 $\pm$ 0.04) $\times 10^{-6}$</td>
</tr>
<tr>
<td>500–700</td>
<td>589 $\pm$ 22</td>
<td>(5.41 $\pm$ 0.56 $\pm$ 0.23) $\times 10^{-7}$</td>
</tr>
<tr>
<td>700–1000</td>
<td>832 $\pm$ 38</td>
<td>(1.90 $\pm$ 0.40 $\pm$ 0.23) $\times 10^{-7}$</td>
</tr>
</tbody>
</table>

**Table continued**
correlation between neighboring points due to the sliding energy window. Fitting a single power law over the range 30.2 GeV to 1 TeV yields $\gamma = -3.170 \pm 0.008 \pm 0.008$, where the first error is the combined statistical and systematic uncertainty and the second error is due to the energy scale uncertainty. This is shown in Fig. 4(b).

It is important to note, as discussed in Ref. [3], that a single power law can describe the electron flux above 27.2 GeV. The simultaneous single power law behavior of $\Phi(e^+)$, $\Phi(e^-)$, and $\Phi(e^+ + e^-)$ is unexpected.

This measurement of $\Phi(e^+ + e^-)$ together with the measurements of $\Phi(e^+)$ and $\Phi(e^-)$ [3] and the positron fraction make possible the accurate comparison with various particle physics and astrophysics models including the minimal model discussed in Refs. [1,2]. This will be presented in a separate publication.

In conclusion, the precision measurement of $\Phi(e^+ + e^-)$ as a function of energy from 0.5 GeV to 1 TeV indicates that the flux is smooth and reveals new and distinct information. No structures were observed. From 30.2 GeV to 1 TeV, the flux can be described by a single power law with $\gamma = -3.170 \pm 0.008$ (stat + syst) $\pm 0.008$ (energy scale).

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