Efficient method for simulating quantum electron dynamics under the time dependent Kohn-Sham equation

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A numerical scheme for solving the time-evolution of wave functions under the time dependent Kohn-Sham equation has been developed. Since the effective Hamiltonian depends on the wave functions, the wave functions and the effective Hamiltonian should evolve consistently with each other. For this purpose, a self-consistent loop is required at every time-step for solving the time-evolution numerically, which is computationally expensive. However, in this paper, we develop a different approach expressing a formal solution of the TD-KS equation, and prove that it is possible to solve the TD-KS equation efficiently and accurately by means of a simple numerical scheme without the use of any self-consistent loops.

I. INTRODUCTION

Since the innovative work on the density functional theory (DFT) [1] and the Kohn-Sham equation [2], many kinds of static or adiabatic quantum electronic phenomena have been investigated based on first principles. As an extension of the DFT to non-adiabatic dynamical phenomena, the time-dependent density functional theory (TD-DFT) has been developed [3,4]. By using the TD-DFT, some excitation phenomena have been analyzed more accurately than by using the DFT [5]. However, the formulation of the TD-DFT is too complicated to solve the wave functions numerically in order to see electron dynamics directly. So a considerable approximate formula called the TD-Kohn-Sham (TD-KS) equation has been applied for the numerical simulations [6,7].

The difficulty in numerically solving the TD-KS equation is the treatment of the density-dependent Hamiltonian. The wave functions and the Hamiltonian should always be self-consistent with each other. A fourth order self-consistent iterative scheme was proposed by O. Sugino and Y. Miyamoto [6]. However, the use of a SCF-loop at every time-step is computationally expensive.

In this paper, we propose a new formalism for the numerical solution of the TD-KS equation. Based it on, we prove that a simple formula without SCF-loops can solve the TD-KS equation with sufficient accuracy. We find that computational techniques [9,10] previously developed by us for the one-electron TD-Schrödinger equation in real space and real time are also useful for the TD-KS equation.

II. CONVENTIONAL METHOD

The TD-KS equation is a mean field approach used for describing the time-evolution of the electron density ρ via one-electron wave functions ψn under an effective Hamiltonian H,

\[ \frac{i}{\hbar} \frac{\partial \psi_n(t)}{\partial t} = H[p, t] \psi_n(t) ; \quad H[p, t] = -\frac{\Delta}{2} + V[p, t] , \]

(1)

\[ V[p, t] = V_{\text{int}}[p] + V_{\text{ext}}(t) , \quad \rho(t) = \sum_{n=1}^{N} |\psi_n(t)|^2 . \]

Here, V[p, t] is an effective potential which represents the internal mutual interactions V_{\text{int}}[p] and the external time-dependent potential V_{\text{ext}}(t). Throughout this paper, we use the atomic unit \( \hbar = 1 \), \( m = 1 \), \( e = 1 \) for equations and values.

Due to the time-dependence of the Hamiltonian, the solution of the TD-KS equation can be formally expressed in terms of a time-ordering exponential operator:

\[ \psi_n(t) = T \exp \left[ -i \int_0^t dt' H[p, t'] \right] \psi_n(0) . \]

(2)

There are many numerical methods for computing Eq. (2). The simplest method discretizes the elapsed time t into small time slices Δt, and approximates Eq. (2) as

\[ \psi_n(t + \Delta t) \approx \exp \left[ -i \Delta t H[p, t] \right] \psi_n(t) , \]

(3)

and it is computed using the Runge-Kutta method, or by the split operator technique:

\[ \psi_n(t + \Delta t) \sim \exp \left[ \frac{i \Delta t}{2} \right] \exp \left[ \frac{\Delta t}{2} V[p, t] \right] \exp \left[ \frac{i \Delta t}{2} \right] \psi_n(t) . \]

(4)

However, this is not sufficiently accurate, because it ignores the time dependence of the Hamiltonian during the small time slice, while the splitting reduces accuracy to an even lower level.

Another well-known computational method for Eq. (2) uses a Hamiltonian in the middle of the steps,

\[ \psi_n(t + \Delta t) \approx \exp \left[ -i \Delta t H[p, t + \frac{\Delta t}{2}] \right] \psi_n(t) . \]

(5)
Eq. (5) is also computed by the split operator technique:

\[
ψ_n(t + Δt) \sim \exp \left[ \frac{i Δt}{2} \Delta \right] \exp \left[ \frac{Δt}{2} V[ρ, t + Δt/2] \right] \exp \left[ -i Δt \Delta \right] ψ_n(t) .
\]  

Here, \( V[ρ, t + Δt/2] \) is estimated from an interpolation between \( V[ρ, t] \) and \( V[ρ, t + Δt] \). Therefore, they have to be solved by a self-consistent loop. This scheme is accurate enough; however, it is computationally expensive to perform the SCF-loop at every time-step.

III. FORMULATION

To avoid the use of a SCF-loop, we first express the time-evolution of wave functions using a Taylor development in exponential form as

\[
ψ_n(t + Δt) = \sum_{k=0}^{∞} \frac{Δt^k}{k!} \frac{∂^k}{∂t^k} ψ_n(t) = \exp \left[ Δt \frac{∂}{∂t} \right] ψ_n(t) .
\]  

We consider a quantity \( f(\{ψ\}, \{ψ^*\}, t) \) which depends on wave functions \( ψ \) and time \( t \) explicitly. The time-derivative of this quantity is expanded by the chain rule,

\[
\frac{∂f}{∂t} = \frac{∂ψ}{∂t} \frac{∂f}{∂ψ} + \frac{∂ψ^*}{∂t} \frac{∂f}{∂ψ^*} + \frac{∂f}{∂t_{ex}} .
\]  

Here, we have used the following notation,

\[
\frac{∂ψ}{∂t} \cdot \frac{∂f}{∂ψ} = \sum_{m=1}^{N} \int dr \frac{∂ψ}{∂t} \frac{∂f}{∂ψ_m(r)} ,
\]  

and \( ∂/∂t_{ex} \) means an explicite-time-derivative operator, which operates only explicitly-time-dependent quantities.

By substituting the TD-KS equation (1) into Eq. (8), the time-differential is generally expressed as

\[
i \frac{∂}{∂t} = (Δψ) \frac{∂}{∂ψ} - (Δψ^*) \frac{∂}{∂ψ^*} + i \frac{∂}{∂t_{ex}} .
\]  

For example, it operates a wave function \( ψ_n \) as

\[
i \frac{∂ψ_n}{∂t} = (Δψ) \frac{∂ψ_n}{∂ψ} - (Δψ^*) \frac{∂ψ_n}{∂ψ^*} + i \frac{∂ψ_n}{∂t_{ex}} = Δψ_n ,
\]  

because \( ψ_n \) does not depend on \( ψ^*_m \) and \( t \) explicitly.

Another example regards density \( ρ \),

\[
i \frac{∂ρ}{∂t} = (Δψ) \frac{∂ρ}{∂ψ} - (Δψ^*) \frac{∂ρ}{∂ψ^*} + i \frac{∂ρ}{∂t_{ex}} = \sum_m (Δψ_m) ψ_m^* - (Δψ^*_m) ψ_m^* = \sum_m (Δψ_m^*) ψ_m - (Δψ^*_m) ψ_m ,
\]  

because \( ρ \) also does not depend on \( t \) explicitly.

By substituting Eq. (10) into Eq. (7), we can formally write the solution without employing the time-ordering operator as

\[
ψ_n(t + Δt) = \exp \left[ \frac{Δt}{2} \frac{∂}{∂t_{ex}} \right] \exp \left[ \frac{i Δt}{4} [Δψ \cdot \frac{∂}{∂ψ} - (Δψ^*) \cdot \frac{∂}{∂ψ^*} ] \right] \exp \left[ \frac{i Δt}{4} [Δψ^* \cdot \frac{∂}{∂ψ^*} - (Δψ) ] \right] ψ_n(t) .
\]  

Equation (14) is correct up to the second-order of \( Δt \).

To clarify the meaning of the exponential operator which contains the Laplacian appearing in Eq. (14), we expand it in a Taylor development as

\[
\exp \left[ \frac{i Δt}{4k} [Δψ \cdot \frac{∂}{∂ψ} - (Δψ^*) \cdot \frac{∂}{∂ψ^*} ]^k \right] ψ_n = \sum_{k=0}^{∞} \frac{1}{k!} \left[ \frac{(Δψ)}{Δψ} \cdot \frac{∂}{∂ψ} - \frac{(Δψ^*)}{Δψ^*} \cdot \frac{∂}{∂ψ^*} \right] ψ_n = Δψ n .
\]  

The first-term \( (k = 1) \) of the series operates \( ψ_n \) as

\[
\left[ (Δψ) \cdot \frac{∂}{∂ψ} - (Δψ^*) \cdot \frac{∂}{∂ψ^*} \right] ψ_n = Δψ n .
\]  

The second-term \( (k = 2) \) operates as

\[
\left[ (Δψ^*) \cdot \frac{∂}{∂ψ^*} - (Δψ) \cdot \frac{∂}{∂ψ} \right] ψ_n = Δψ^*_n .
\]  

Generally,

\[
\left[ (Δψ) \cdot \frac{∂}{∂ψ} - (Δψ^*) \cdot \frac{∂}{∂ψ^*} \right] ψ_n = Δk ψ_n .
\]
Thus, we obtain the following identity:
\[
\exp \left[ \frac{i\Delta t}{4} (\Delta \psi) \cdot \frac{\delta}{\delta \psi} - (\Delta \psi)^* \cdot \frac{\delta}{\delta \psi^*} \right] \psi_n = \exp \left[ \frac{i\Delta t}{4} \Delta \right] \psi_n. \tag{19}
\]

Similarly, we expand the exponential operator which contains the effective potential appearing in Eq. (14) as
\[
\exp \left[ \frac{\Delta t}{i} \left( V[\rho, t] \psi \cdot \frac{\delta}{\delta \psi} - (V[\rho, t] \psi)^* \cdot \frac{\delta}{\delta \psi^*} \right) \right] \psi_n = \sum_{k=0}^{\infty} \frac{(\Delta t)^k}{k!} \left[ (V[\rho, t] \psi \cdot \frac{\delta}{\delta \psi} - (V[\rho, t] \psi)^* \cdot \frac{\delta}{\delta \psi^*} \right] \psi_n. \tag{20}
\]

The first-term \((k = 1)\) of the series operates \(\psi_n\) as
\[
\left[ (V[\rho, t] \psi \cdot \frac{\delta}{\delta \psi} - (V[\rho, t] \psi)^* \cdot \frac{\delta}{\delta \psi^*} \right] \psi_n = V[\rho, t] \psi_n. \tag{21}
\]

The second-term \((k = 2)\) operates as
\[
\left[ (V[\rho, t] \psi \cdot \frac{\delta}{\delta \psi} - (V[\rho, t] \psi)^* \cdot \frac{\delta}{\delta \psi^*} \right] V[\rho, t] \psi_n
= V[\rho, t] V[\rho, t] \psi_n + \left( (V[\rho, t] \psi \cdot \frac{\delta V[\rho, t]}{\delta \psi} \right) \psi_n
- \left( (V[\rho, t] \psi)^* \cdot \frac{\delta V[\rho, t]}{\delta \psi^*} \right) \psi_n
= V[\rho, t] V[\rho, t] \psi_n + \frac{\partial V[\rho, t]}{\partial \rho} \psi_n
- \frac{\partial V[\rho, t]}{\partial \rho} \psi_n
= V[\rho, t] V[\rho, t] \psi_n. \tag{22}
\]

Thus, we obtain the following identity:
\[
\exp \left[ \frac{\Delta t}{i} \left( V[\rho, t] \psi \cdot \frac{\delta}{\delta \psi} - (V[\rho, t] \psi)^* \cdot \frac{\delta}{\delta \psi^*} \right) \right] \psi_n = \exp \left[ \frac{\Delta t}{i} V[\rho, t] \right] \psi_n. \tag{23}
\]

Substituting Eq. (19),(23) into Eq. (14), we obtain,
\[
\psi_n(t + \Delta t) \simeq \exp \left[ \frac{\Delta t}{2} \frac{\partial}{\partial t} \right] \exp \left[ \frac{i\Delta t}{2} \frac{\Delta}{\Delta} \right] \psi_n(t) \exp \left[ \frac{i\Delta t}{2} \frac{\Delta}{\Delta} \right] \psi_n(t). \tag{24}
\]

By the way, \(V_{\text{int}}[\rho]\) does not depend on time explicitly, because the density \(\rho\) does not depend on time explicitly as shown in Eq. (12). Meanwhile, \(V_{\text{ext}}(t)\) does depend on time explicitly,
\[
\frac{\partial V_{\text{int}}[\rho]}{\partial t_{\text{ex}}} = 0, \quad \frac{\partial V_{\text{ext}}(t)}{\partial t_{\text{ex}}} \neq 0. \tag{25}
\]

Therefore, the exponential of the explicit-time-derivative operator appearing in Eq. (24) affects only the external time-dependent potential \(V_{\text{ext}}(t)\) as
\[
\exp \left[ \frac{\Delta t}{2} \frac{\partial}{\partial t_{\text{ex}}} \right] V_{\text{ext}}(t) = V_{\text{ext}}(t + \frac{\Delta t}{2}) \tag{26}
\]
As a result, we obtain the desired formula:
\[
\psi_n(t + \Delta t) \simeq \exp \left[ \frac{i\Delta t}{2} \frac{\Delta}{\Delta} \right] \left\{ \exp \left[ \frac{\Delta t}{2} \left( V_{\text{int}}[\rho] + V_{\text{ext}}(t + \frac{\Delta t}{2}) \right) \right] \right\} \psi_n(t) = \exp \left[ \frac{i\Delta t}{2} \frac{\Delta}{\Delta} \right] \psi_n(t). \tag{27}
\]

Here, \(V_{\text{ext}}(t + \frac{\Delta t}{2})\) is the external force in the middle of the steps. Meanwhile, \(\rho'\) in \(V_{\text{int}}[\rho']\) is not the density in the middle of the steps, but it is the density after the preceding operation, namely
\[
\rho'(r) = \sum_{n=1}^{N} \left\{ \exp \left[ \frac{i\Delta t}{2} \frac{\Delta}{\Delta} \right] \psi_n(r, t) \right\}^2. \tag{28}
\]

Therefore, the formula (27) can be explicitly computed without employing any SCF loops.

The present non-SCF formula (27) is quite similar with the conventional non-SCF formula (4) and the conventional SCF formula (6). However, in this paper, we have derived the formula based on the strict solution (13) by considering the time-dependence of the Hamiltonian, while the conventional non-SCF formula did not consider the time-dependence. We can easily show that the present non-SCF formula is as accurate as the conventional SCF formula by associating \(\rho'\) with \(\rho(t + \Delta t)\) as,
\[
\rho' = \sum_{n=1}^{N} |\psi_n(t)|^2 + i \frac{\Delta t}{2} \left( \frac{\psi_n^*}{\psi_n} \frac{\Delta}{\Delta} \psi_n - \frac{\Delta}{\Delta} \psi_n^* \right) + O(\Delta t^2)
= \sum_{n=1}^{N} |\psi_n(t)|^2 + \frac{\Delta t}{2} \left( \frac{\psi_n^*}{\psi_n} \frac{\partial}{\partial t} \psi_n - \frac{\partial}{\partial t} \psi_n^* \right) + O(\Delta t^2)
= \rho(t) + \frac{\Delta t}{2} \frac{\partial}{\partial t} |\psi_n| + O(\Delta t^2). \tag{29}
\]

Therefore, both the non-SCF formula and the SCF formula are correct up to the second-order of \(\Delta t\).

**IV. COMPUTATIONAL TECHNIQUE**

Computational techniques previously developed by us for the one-electron TD-Schrödinger equation [9,10] are also beneficial for formula (27). We discretize the wave
functions in real space, and use the finite element method for spatial derivatives. The only difference in the scheme for the TD-KS equation and TD-Schrödinger equation is the exponential of the effective potential:

\[ \psi'_n(r) = \exp \left[ \frac{\Delta t}{2} V_{\text{int}}[\rho] \right] \psi_n(r) \, . \] (30)

By this operation, the phase of the wave functions is altered at each point, but the density \( \rho(r) \) is not altered. Therefore, we take the value of \( V_{\text{int}}[\rho](r) \) as a constant during the computation, which is calculated just before the computation.

It is quite easy to improve the accuracy of formula (27) to the fourth order. The fourth-order accurate formula is given by Suzuki’s exponential product theory [8] as

\[ \psi_n(t + \Delta t) \approx S_2(s \Delta t; t + (1 - s) \Delta t) \]

\[ = S_2(s \Delta t; t + (1 - 2s) \Delta t) S_2((1 - 4s) \Delta t; t + 2s \Delta t) \]

\[ = S_2(s \Delta t; t + s \Delta t) S_2(s \Delta t; t) \psi_n(t) . \] (31)

Here, \( s \) and \( S_2(\Delta t; t) \) are given as

\[ s = 1/(4 - \sqrt{4}) \] (32)

\[ S_2(\Delta t; t) = \exp \left[ \frac{i \Delta t \Delta}{2} \right] \exp \left[ \frac{i \Delta t \Delta}{2} V[\rho', t] \right] \exp \left[ \frac{i \Delta t \Delta}{2} \right] , \] (33)

where, \( \rho' \) is the density after the preceding operations.

V. EXAMPLE

In this section, we perform a simple simulation to verify the efficiency and accuracy of the present method. The model system we use here is a one-dimensional isolated system in which two electrons interact by a delta-function interaction under an oscillating electric field. The two-body wave function \( \Psi(x_1, x_2; t) \) in this system obeys the following TD-Schrödinger equation,

\[ i \frac{\partial}{\partial t} \Psi(x_1, x_2; t) = \left[ -\frac{1}{2} \frac{\partial^2}{\partial x_1^2} - \frac{1}{2} \frac{\partial^2}{\partial x_2^2} + \alpha \delta(x_1 - x_2) \right. \]

\[ + (x_1 + x_2)E_o \sin(\omega_o t) \] \[ \left. \right] \Psi(x_1, x_2; t) , \] (34)

where \( \alpha \) is the coupling constant of the interaction, and \( E_o \) is an external electric field to perturb this system.

We suppose that \( \Psi(x_1, x_2; t) \) is expressed by a common one-electron orbital wave function \( \psi(x, t) \) as

\[ \Psi(x_1, x_2; t) = \psi(x_1, t)\psi(x_2, t) \]

\[ = \frac{1}{\sqrt{2}} \left( \chi(1, \sigma_1)\chi(1, \sigma_2) - \chi(1, \sigma_1)\chi(1, \sigma_2) \right) . \] (35)

Thus, the TD-KS equation is derived exactly,

\[ i \frac{\partial}{\partial t} \psi(x, t) = \left[ -\frac{1}{2} \frac{\partial^2}{\partial x^2} + \alpha \rho(x, t) + xE_o \sin(\omega_o t) \right] \psi(x, t) , \]

\[ \rho(x, t) = |\psi(x, t)|^2 . \] (36)

We use the following parameters for computation:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Size of the system</td>
<td>( L = 8.0 )</td>
</tr>
<tr>
<td>Number of grid points</td>
<td>( N_p = 64 )</td>
</tr>
<tr>
<td>Mutual interaction</td>
<td>( \alpha = 0.5 )</td>
</tr>
<tr>
<td>External force</td>
<td>( E_o = 1/64 )</td>
</tr>
<tr>
<td>Frequency</td>
<td>( \omega_o = 1/8 )</td>
</tr>
<tr>
<td>Small time slice</td>
<td>( \Delta t = 1/16 )</td>
</tr>
<tr>
<td>Total time steps</td>
<td>( N_t = 256k )</td>
</tr>
</tbody>
</table>

First, we compute the lowest eigen state of this system using the time-independent Kohn-Sham equation:

\[ E \psi_o(x) = \left[ -\frac{1}{2} \frac{\partial^2}{\partial x^2} + \alpha \rho(x) \right] \psi_o(x) . \] (37)

We use this state as the initial state.

Second, we compute the time-evolution using Eq. (27). Third, by Fourier transforming the time-fluctuation of the polarization, we obtain the spectrum of the scattered light as shown in Figure 1.

FIG. 1. Spectrum of the scattered light. A sharp peak found at 0.125 is corresponding to the Rayleigh scattering. A sharp peak found at 0.261 is corresponding to the emission from the first excited state to the ground state, this energy includes many-body and non-linear effects.

The peak appearing in energy \( \omega_o = 0.125 \) comes from the injected light. The peak appearing in energy \( \omega = 0.261 \) is expected to be the excitation energy between the first excited state and the ground state.

We have calculated the excitation energy by certain other methods: Method (A) solves eigen states by the non-TD-KS equation (37), method (B) modifies the result of (A) by using RPA, and method (C) diagonalizes the non-TD-Schrödinger equation. The results are listed below:
Excitation energies calculated by some methods

(A) non-TD-KS eq.  \( \omega_{KS} = 0.199 \)
(B) non-TD-KS eq. with RPA  \( \omega_{RPA} = 0.255 \)
(C) non-TD-Schrödinger eq.  \( \omega_{Sch} = 0.260 \)

We found the peak obtained by the present method, i.e., the TD-KS equation, reproduces fairly accurately the excitation energy calculated by means of the exact diagonalization of the non-TD-Schrödinger equation. Namely, by solving the TD-KS equation, dynamical phenomena can be described more accurately than using the RPA as far as the effective Hamiltonian is correct.

Next, to evaluate the error of the method, we estimate the error of the density \( \rho(x,T) \) at a specified time \( T = 256 \) [a.u.].

\[
\text{Error} = \int_0^L dx \left| \rho(x,T) - \rho_{\text{exact}}(x,T) \right| , \tag{38}
\]

here the exact value \( \rho_{\text{exact}}(x,T) \) is prepared in advance by performing the same simulation on an extremely small time slice \( \Delta t = 1/256 \) [a.u.].

Figure 2 shows the errors on some time slices obtained by three methods: the present non-SCF method (27), the conventional non-SCF method (4), and the conventional SCF method (6).

![FIG. 2. Errors in the density obtained by three methods on some small time slices. The conventional non-SCF method is accurate up to the first order of \( \Delta t \), while the present non-SCF method and the conventional SCF method are accurate up to the second order of \( \Delta t \). In this test case, the error of the non-SCF method is almost as same as that of the SCF method.](image)

**VI. CONCLUSION**

We have proved that simulation of the wave function under the TD-KS equation can be performed by a simple scheme and that there is no need for the use of SCF-loops to maintain the self-consistency of the effective Hamiltonian. Our proposed non-SCF method is competitive in accuracy with the SCF method, and also it is superior in computational efficiency. We are convinced that our method is helpful for investigating non-adiabatic and non-linear quantum electrons dynamics.

We have also tested the simulation using the present fourth-order non-SCF method (31) and the fourth-order SCF method proposed in the literature [6]. Figure (3) shows the errors. Both errors are much less than those of the second-order methods.

![FIG. 3. Errors in the density obtained by the fourth-order methods. Both errors are roughly proportional to \( \Delta t^4 \), and they are much less than those of the second-order methods. In this test case, the error of the non-SCF method is almost as same as that of the SCF method.](image)
