Medium polarization isotopic effects on nuclear binding energies

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There exist several effective interactions whose parameters are fitted to force mean field predictions to reproduce experimental findings of finite nuclei and calculated properties of infinite nuclear matter. Exploiting this technique one can give a good description of nuclear binding energies. We present evidence that further progress can be made by taking into account medium polarization effects associated with surface and pairing vibrations.

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Mean field theory is one of the most useful approximations in all of physics and chemistry. In it we replace the many-particle Schrödinger equation by the single particle Schrödinger equation. What started out as a problem too complicated to solve for all but the smallest systems becomes quite manageable when one deals with one particle at a time (see e.g. \cite{1}). Mass formulae based on mean field theory can achieve good agreement with experimental findings. When phenomenological corrections are added to take into account selected correlation effects (e.g. rotational energy correction, a Wigner term to take into account the proton-neutron interaction in nuclei with N nearly equal to Z) one can fit the experimental masses with a rms deviation of about 0.6 MeV.

In the present paper we study some of the consequences zero point fluctuations associated with surface and pairing vibrations have on nuclear masses (in connection with the first subject see ref \cite{2}).

I. DETAILS OF THE CALCULATION

In what follows we concentrate on a set of 121 spherical even-even nuclei (ranging from $A = 16$ to $A = 214$).

We started from a mean field calculated in the HF approximation, according to the procedure followed by Goriely et al. \cite{3}. In particular, we made use of the Skyrme-type MSK7 interaction, adding a phenomenological Wigner term in order to take into account neutron-proton correlations in nuclei having nearly equal number of neutrons and protons. The pairing channel was treated in the BCS approximation using a zero-range interaction

$$V_{\text{pair}}(\vec{r}, \vec{r'}) = V_0 \delta (\vec{r} - \vec{r'})$$

in which we allow the pairing strength parameter $V_0$ to be different for neutrons and protons and with an energy cutoff corresponding to one major shell ($E = 41 \cdot A^{-1/3}$ MeV). HFBCS solutions were computed in a box of 16 fm radius, making use of a radial mesh of 0.1 fm.

We then focused on the effect of correlations on the binding energies. The contribution associated with surface vibrations has been calculated in the quasiparticle random phase approximation (QRPA), according to the expression

$$E_{QRPA}^{\text{soft}} = -(2\lambda + 1) \sum_{\lambda, n} \hbar \omega_\lambda(n) \sum_{ki} |Y_{ki}^\lambda(n)|^2,$$

where $\omega_\lambda(n)$ are the energies of the n-th QRPA phonons of multipolarity $\lambda$, $Y_{ki}^\lambda(n)$ are the backwardsgoing amplitudes (associated with the corresponding vibrational modes of energy $\hbar \omega_\lambda(n)$) and the sums over $k$ and $i$ run on QRPA quasi-particle indices (on RPA particle and hole indices for nuclei which are not superfluid). We don’t consider here other important renormalization effects, e.g. self-energy effects, which affect the value of the effective mass ($m^* = 1.05 m$ in the case of the MSK7 interaction) and the position of single-particle levels and level densities. In QRPA calculations, using the same interactions adopted in the HF+BCS mean field, $2^+$ and $3^-$ vibrations have been calculated using a space dimension ranging from 40 MeV for the heaviest isotopes to 64 MeV for the lightest ones, exhausting the energy-weighted sum rule. Only the most collective low-lying QRPA phonons have been considered for the computation of correlation energies. The selection of the most collective phonons is achieved taking into account only those QRPA states with a reduced transition probability greater than 2% of non-energy weighted sum rule, see also ref. \cite{4}; for what concerns the individualization of the low-lying states, the fragmentation of the QRPA response imposes a case by case analysis, but a simple rule came out: quadrupolar (octupolar) states up to 7 MeV (5 MeV) contributed to the calculation of correlation energies, except for some nuclei (namely, Ar, Ca, Ti, Zr) for which we took into account quadrupolar (octupolar) states up to 10 MeV (7 MeV).

Pairing vibrations (see \cite{5,6}) were treated in RPA. While it is true that well developed pairing vibrational bands based on doubly closed nuclei have been observed (e.g. in the case of $^{208}$Pb, see \cite{7}), one expects pairing
collectivity to be important also for systems with few nucleons outside closed shells. However, the correlation energy associated with pairing vibrations is calculated only on shell closures, while the correlations associated with pairing in open shell nuclei have been taken into account by means of the BCS approach. In any case, the above is an approximation which, if needed, can be removed from the calculation scheme. Single particle levels have been computed using a Woods-Saxon potential with standard parametrization \[7\]. A separable pairing interaction with constant matrix elements has been used to compute monopole pairing vibration contributions. In all calculations we have kept the contribution of only the lowest \((n = 1)\) pair addition and pair subtraction modes, in keeping with the fact that, as a rule, the \(n \neq 1\) modes are much less collective. The values of the associated pairing strengths in a specific nucleus have been computed as in ref. \[11\] (see note \[8\]).

For neutron pairing vibrations the pairing strength has been computed in double closed shell nuclei and the resulting value has been used for isotopes with the same magic atomic number, the correlation energy due to proton pairing vibrations has been calculated only for selected isotopes \((^{16-22}O, ^{40}Ca, ^{48}Ca, ^{110}Sn, ^{128}Sn, ^{208}Pb}\) for \(N=8,20,28,82,126\) respectively), while for \(N=50\) the value has been computed in \(^{90}Zr\). For isotopic chains with magic atomic number, the correlation energy tends to bind magic nuclei less than their neighbours (compare Fig. 2 for the neutron pairing vibrations and from the fixing of some computational errors). In all nuclei in the mean field approximation and also recomputed perturbatively the parameters of the force reading vibrations and from the fixing of some computational errors. In any case, the above is an approximation which, if needed, can be removed from the calculation scheme.

The shell structure dependence of the results is evident from Fig. 1. At variance with the results reported in ref. \[2\] obtained making use of the SLy4 Skyrme parametrization, magic number nuclei are not, in our calculations, regularly overbound with respect to their neighbours. In fact, \(^{40}Ca, ^{48}Ca\) and \(^{208}Pb\) (indicated by the arrows in the plot) are examples of magic nuclei which are underbound with respect to near isotopes, while for \(N=132\) some opposite cases are observed.

\[\text{rmsd} = \sqrt{\frac{1}{N} \sum_{j} ((E_j^{calc} - E_j^{exp}))^2} \]  

we obtain for this set of 121 nuclei is 0.724 MeV. Note that the parameters of the Skyrme force used are slightly different from the MSk7 developed by Goriely et al. in \[8\]. We started with the MSk7 parametrization and, with the aid of a linear refit (which will be discussed below), we fitted the Skyrme parameters to the experimental binding energies of this set of 121 isotopes. The resulting parametrization was used as a starting point of the present work \[11\].

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II. RESULTS

A. Mean field

Fig. 1 shows the deviations of computed mean field (MF) ground state energies \(E^{calc}\) from experimental values \(E^{exp}\) \(\[4,11\]\). The r.m.s. deviation

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B. Correlation energies

Surface and pairing correlation energies are plotted in Fig. 2. Low-lying surface vibrations show, as expected, a marked collectivity for all the isotopes calculated. These corrections tend to bind magic nuclei less than their neighbours (compare Fig. 2 for the neutron shell closures at \(N = 20, 28, 50, 82\)). On the other hand pairing vibrations contributions show the opposite behaviour, providing magic nuclei with an important extra binding energy. The sum of the two contributions is displayed in the lower panel of Fig. 2. The differences which can be found between the results presented in ref. \[4\] and those presented in this work arise from the inclusion in the previous paper of quadrupole and hexadecapole pairing vibrations and from the fixing of some computational errors.

After adding surface and pairing correlations to mean field binding energies, a refit of the Skyrme parameters is needed in order to minimize the rms deviation \[3\]. After a first refit of the parameters one should recompute all nuclei in the mean field approximation and also recompute the correlations. Since a full fit of the Skyrme interaction to the experimental binding energies is too costly, we will follow here the procedure of ref. \[11\], readjusting perturbatively the parameters of the force

\[\text{rmsd} = 0.724 \text{ MeV} \]

FIG. 1: Deviations of computed mean field ground state energies from experimental values as a function of neutron number. The straight horizontal line is the \(E_j^{calc} - E_j^{exp} = 0\) line. Nuclei above this line are underbound. Isotopic chains are connected by solid lines. The full dots highlight calcium and lead isotopes, commented in the text. Figures come in color in the online version.
This implies that the new interaction will be, in the parameters space, rather similar to the original MF interaction. Thus, QRPA correlations are expected to change little under the refitting process. This is the reason why we leave unchanged the correlation energy contributions coming from QRPA.

In the case of surface vibrations, we have compared our results with those recently obtained by Bender et al.\[2,15\]. We started from their mean field binding energies computed with the SLy4 Skyrme interaction within the HF+BCS framework for the 121 isotopes studied in the present paper; these binding energies display a rms deviation from the experimental values of 2.45 (1.54) MeV before (after) a refit procedure is performed (see below for a brief explanation of \[13\] for a more detailed one). If one computes the correlation energies obtained projecting on good angular momentum ($J = 0$), as the authors of \[2\] did, and adds these corrections to the mean field binding energies one obtains (after refitting) a rms deviation of 1.45 MeV. If instead one adds the surface correlation energies showed in the middle panel of Fig. 3, one obtains a rms deviation of 1.46 MeV.

In what follows we give a brief description of the perturbative refit performed (see ref. \[13\] for a detailed discussion). The mean field Skyrme energy functional is decomposed into a sum of integrals $f_i$, each of which is weighted by some linear combination $c_i$ of the Skyrme parameters

$$E = \sum_{i=1}^{10} c_if_i. \tag{4}$$

These integrals $f_i$ and the mean field binding energies are sufficient to perform the refit of the interaction. Since certain linear combinations of the Skyrme parameters are not determined by nuclear masses, one should not include them in the fit. According to \[13\] only four combinations are well fixed by the binding energies. The refit procedure is linear in these integrals $f_i$ and one can vary the $c_i$ coefficients in order to minimize the rms deviation between theoretical and experimental binding energies. We tested the linearity of the procedure recomputing the binding energies with the new parameters and comparing these energies to those obtained exploiting the linearity, finding excellent agreement.

The results obtained after performing the refitting procedure are shown in Fig. 3. The rms deviation for the refit of mean field plus surface and pairing correlations is 0.714 (middle panel of Fig. 3), very close to the mean field value (0.724 MeV). It can be seen that adding the negative correlations has increased the deviation of the calculated masses from the experimental data for a few specific isotopic chains, which are now systematically overbound (cf. for example the oxygen isotopes, indicated by an arrow in the middle panel of Fig. 3), notwithstanding the refitting procedure.

To gain perspective concerning this point, it is worth stepping back and looking at what happens when the ground state correlation energies are added to the isotopic chains studied, without carrying out the refitting procedure. It is seen that, adding the correlation energy contributions, the deviation between theory and experiment for some of the nuclear chains studied displays a
flatter isotopic dependence. An index of this flattening is provided by the rms deviation calculated with respect to the average deviation of the single isotopic chain. In other words, for the kth isotopic chain

\[ \sigma_k = N_k \sum_i^N \left( (\alpha_k + E_{\text{calc}}^i - E_{\text{exp}}^i)^2 \right)^{1/2} \]  

where \( \alpha_k \) is the average deviation

\[ \alpha_k = \frac{N_k}{\sum_i^N} \left( E_{\text{calc}}^i - E_{\text{exp}}^i \right). \]

The values of \( \sigma_k \) for all the 21 isotopic chains studied are displayed in Table I. It can be seen that in many cases (13 out of 21) \( \sigma_k \) decreases compared to the mean field value, mostly due to the effect of pairing vibrations. The effect of surface vibrations alone is to increase \( \sigma_k \) compared to the mean field value in 16 chains out of 21. The total value of \( \sigma_k \) for all the isotopic chains, defined by the expression

\[ \sigma_{\text{total}} = \sqrt{\frac{\sum_k N_k \sigma_k^2}{\sum_k N_k}} \]

decreases by about 6% from 0.532 MeV for the MF calculation to the corrected mean field (0.502 MeV).

In keeping with this finding, we have added to mean field only the fluctuations of the QRPA correlations. That is, we added to each isotope the difference \( \delta E \) between its correlation energy and the average correlation energy of the isotopic chain it belongs to. This procedure clearly cancels out the information related to the absolute value of the computed correlation energies, but keeps the, arguably, more important information concerning the isotopic dependence of these energies. In this context, we can remark that while the absolute value of the correlation energies associated with collective surface vibrations depends on the choice of the energy of the phonons which contribute to these correlation energies, the isotopic dependence is much more stable. This is because the properties of giant resonance modes change little along a given isotopic chain. Consequently, adding the fluctuations \( \delta E \) to MF selects the most stable fraction of the contributions associated with ground state correlation energies. The results obtained after refitting are shown in the lower panel of Fig. 3. The rms deviation is now 0.691 MeV.

C. Further improvements

Observing Table I one can realize that for some isotopic chains (for example calcium, tin and sulphur) \( \sigma_k \) maintains nearly the same value or increases after adding surface and pairing vibrational correlation energies. The results shown in the Table arose from the application of the prescription described in section I. This prescription is the same for all nuclei we included in this study, and this is in the spirit of a systematic study of the effect of these correlations to nuclear masses. Nevertheless it is worth looking at what we can gain by studying certain chains in more detail, in order to learn something that could be useful to improve our general prescription. If we focus on calcium and lead isotopes, for example, we know that in these nuclei well developed quadrupole pairing vibrations have been observed. This lead us to include in these isotopes, besides the monopole pairing contribution, also the quadrupole one. The corresponding results are shown in Fig. 4 and are summarized in Table II. The improvement is evident. In the middle panel of Fig. 4 we show the results obtained performing the refit on all 121 nuclei, adding quadrupole pairing vibrations for calcium and lead; in the lower panel, we show the results obtained adding only the fluctuations \( \delta E \). In the latter case, the rms deviation for all 121 isotopes is 0.667 MeV (that is, a reduction of the rmsd from MF of nearly 8%).

Analyses like this one could be pursued in other regions of the chart of nuclides, and it may be possible to find out some general rules that one can include in a general
FIG. 4: The effect of adding surface and pairing correlation energies to mean field binding energies are plotted for Ca (left) and Pb (right) isotopic chains. Solid lines represents mean field calculation results (MF), shown for all the 121 isotopes in Fig. 4. Dashed lines are obtained adding the surface correlations of the upper panel of Fig. 2 to the mean field binding energies (MF+SV), dotted lines are obtained adding the monopole pairing correlations of the middle panel of Fig. 2 as well (MF+SV+PV(0+)), and dot-dashed lines represent the results obtained adding quadrupole pairing contributions as well (MF+SV+PV(0+,2+)). The dashed horizontal lines indicate the average values of the first and the last curves, in order to better judge the improvement gained going from MF to MF+SV+PV(0+,2+).

![Graph showing energy differences for Ca and Pb isotopes](image)

**TABLE II:** Rms deviations $\sigma_k$ for calcium and lead after the addition of the correlation energy contributions described in the text are shown. These deviations are calculated with respect to the average deviation for each isotopic chain (see eqs. 5 and 6). The Table columns display the mean field rmsd (2nd column), the rmsd for mean field plus surface correlation (3rd), the rmsd obtained adding monopole pairing contributions as well (4th) and the rmsd when also quadrupole pairing vibrational contributions are included. All numbers are in MeV.

<table>
<thead>
<tr>
<th></th>
<th>$\sigma_k$(MF)</th>
<th>$\sigma_k$(MF+SV)</th>
<th>$\sigma_k$(MF+SV+PV(0+))</th>
<th>$\sigma_k$(MF+SV+PV(0+,2+))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ca</td>
<td>0.959</td>
<td>1.153</td>
<td>0.941</td>
<td>0.308</td>
</tr>
<tr>
<td>Pb</td>
<td>0.518</td>
<td>0.541</td>
<td>0.417</td>
<td>0.296</td>
</tr>
</tbody>
</table>

D. Influence of the various contributions

It is worth looking at what happens when we add to the mean field binding energy only some of the contributions to correlation energies: data are shown in Table III. It is evident, if one looks at the rms deviations obtained adding the fluctuations $\delta E$ of the correlation energies (last column of Table III), that the octupolar surface correlation energy has a small influence on the results. This is observed in all the three cases shown in Table III.

Comparing the first and the third rows of this Table (and analogously the second and the fourth), one can realize that the quadrupole contribution to pairing vibration correlations has a large influence on the results. In particular, adding this contribution to all isotopes, rather than adding them only to calcium and lead isotopes, leads to a worse rms deviation (compare the first and the fifth rows of Table III).

The values of $\sigma_k$ (see eq. 5) for the various prescriptions are shown in Table IV. It is seen that the results in Table III and IV follow the same trends, the best agreement with experiment being obtained in the case $(\epsilon)$, namely including quadrupole pairing vibrations for calcium and lead isotopes.

III. SUMMARY AND CONCLUSIONS

We have performed a systematic analysis of the contribution of medium polarization effects associated with collective surface and pairing vibrations on the binding energies of even-even spherical nuclei. We have started from a HF+BCS mean field calculation based on a Skyrme-type interaction, obtaining a rms deviation of 0.724 MeV with respect to experimental masses. Then we have added the correlation energy associated with low-lying surface and pairing vibrational modes (calculated in the QRPA and RPA approximation respectively) which typically increases the calculated binding energies by a few MeV.
TABLE III: Rms deviations obtained after adding some of the contributions to correlation energies to the mean field ground state of the 121 isotopes. The table columns display the contributions taken into account in each case (first four columns), the rmsd (obtained after the refit) for mean field plus these correlations (5th column) and the rmsd (obtained after the refit) for mean field plus the fluctuations δE of these correlations (6th). All numbers are in MeV. The values should be compared to the mean field rmsd of 0.724 MeV.

<table>
<thead>
<tr>
<th></th>
<th>SV+PV</th>
<th>rmsd</th>
<th>rmsd MF+δE</th>
</tr>
</thead>
<tbody>
<tr>
<td>X X X</td>
<td></td>
<td>0.935</td>
<td>0.788 (a)</td>
</tr>
<tr>
<td>X X X</td>
<td></td>
<td>0.942</td>
<td>0.817 (b)</td>
</tr>
<tr>
<td>X X X</td>
<td></td>
<td>0.714</td>
<td>0.691 (c)</td>
</tr>
<tr>
<td>X X X</td>
<td></td>
<td>0.702</td>
<td>0.694 (d)</td>
</tr>
<tr>
<td>X X X</td>
<td>only Ca and Pb</td>
<td>0.729</td>
<td>0.667 (e)</td>
</tr>
<tr>
<td>X X X</td>
<td>only Ca and Pb</td>
<td>1.225</td>
<td>0.677 (f)</td>
</tr>
</tbody>
</table>

Subsequently we performed a linear refit of the parameters of the interaction in order to obtain the best agreement with the experiment. Although this refit procedure had some difficulties in minimizing the rms deviation of binding energies (some isotopic chains turned out to be systematically overbound), we obtained in most isotopic chains a flattening of the deviations of the binding energies from the experiment. Adding the fluctuations of the correlation energies, losing the information related to their absolute value, the linear refit was able to find a new set of Skyrme parameters which gives a rms deviation of 0.691 MeV (that is, a reduction of about 4.5% from the mean field treatment).

In order to improve the results achieved, we tried to better study some critical chains. For example the calcium isotopes, whose deviations from experimental binding energies turned out to be insensitive to the addition of the correlation energies considered, and which contribute in an important way to the total rmsd. We have been able to improve the description of calcium and lead isotopes including the correlations associated with quadrupole pairing vibrations. This reduced the rms deviation for all 121 isotopes to 0.667 MeV (corresponding to about 8% reduction with respect to the MF rmsd). The reduction obtained is not strong, but it is sufficient to justify the effort made in going beyond the mean field approach. The improvement gained by the specific study performed on Ca and Pb isotopes can suggest that studying certain chains in more detail can allow us to learn something that could be useful in building a general prescription.

Concerning surface vibrations correlations, we also compared our results with what was obtained by Bender et al. in ref. [2] with the projection on good angular momentum and obtained a very good agreement, pointing to the question of how much the details of the calculation count.

Our results show that, for the set of isotopes studied, the degrees of freedom we investigated can help us in improving the mass formula built on a self-consistent mean field. However, our investigation was limited by the adopted refitting procedure, which made a perturbative survey of the parameters space, tying the results obtained to the starting Skyrme parametrization. Further investigations should adopt a more general refitting procedure, exploring a larger region of the parameter space. Doing such a costly search for a better minimum of the rms norm would also imply that at every step one should re-calculate the mean field binding energies and also the correlation energies, because a larger change of the parameters implies that these correlation energies can show a no more negligible variation.

We conclude emphasizing that the aim of this work is not to achieve a mass formula with a very low rms deviation from experimental findings, but to investigate the effects of some degrees of freedom of the nuclear system which have to be taken into account (namely, surface and pairing vibrations).

IV. ACKNOWLEDGEMENTS

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sity, B. Sabbey for the helpful support about the refitting procedure and M. Pearson for his collaboration about the mean field procedure.

[8] For pairing vibrations of multipolarity $\lambda^n$ for a nucleus with $A$ nucleons we fitted the experimental values of the expression $2B_{A \pm 1} - B_{A \pm 2} - B_A + \Delta E_{exc} + \Delta E_{s.p.}$ for the pairing addition and removal mode, where the $B$ are the binding energies, $\Delta E_{exc}$ is the excitation energy of the lowest $\lambda^n$ state in the $A \pm 2$ nucleus and $\Delta E_{s.p.}$ is the energetic jump that the two valence nucleons have to make in order to couple to angular momentum $\lambda$ ($\Delta E_{exc}$ and $\Delta E_{s.p.}$ are equal to zero for monopole ($\lambda = 0$) pairing vibration in even-even nuclei).
[10] One has to consider the electronic contribution to atomic binding energy.
[11] Before performing this refit, we obtained, using the MSk7 parametrization, a rms deviation of 2.63 MeV on the set of isotopes we studied. In [3] the authors obtained a rms deviation of 0.693 MeV on this set. This is due to a different treatment of the finite dimension of the proton [12].
[14] We thank B. Sabbey for the refitting program he kindly gave us.