Lattice location of Mg in GaN: A fresh look at doping limitations

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(Received 11 November 2016; accepted 19 January 2017)

Radioactive $^{25}$Mg ($t_{1/2}$=9.5 min) was implanted into GaN of different doping types at CERN’s ISOLDE facility and its lattice site determined via $\beta^-$ emission channeling. Following implantations between room temperature and 800°C, the majority of $^{25}$Mg occupies the substitutional Ga sites, however, below 350°C significant fractions were also found on interstitial positions ~0.6 Å from ideal octahedral sites. The interstitial fraction of Mg was correlated with the GaN doping character, being highest (up to 31%) in samples doped $p$-type with 2×$10^{19}$ cm$^{-3}$ stable Mg during epitayer growth, and lowest in Si-doped n-GaN, thus giving direct evidence for the amphoteric character of Mg. Implantiing above 350°C converts interstitial $^{25}$Mg to substitutional Ga sites, which allows estimating the activation energy for migration of interstitial Mg as between 1.3 and 2.0 eV.

Mg-doped $p$-type GaN is nowadays a core component of many optoelectronic devices which we find in our homes, e.g. light emitting diodes (LEDs) for solid state white lighting [1] or blue lasers [2]. Applications of GaN for power electronics, e.g. as high voltage transistors or power converters [3,4], also exist already but are less widespread; others, as in photovoltaics [5] may still emerge. Despite the technological maturity of GaN, there are some basic properties related to its $p$-type doping that are still poorly understood and also limit the performance of devices. One such major problem is an inherent doping limit: once the Mg concentration in GaN surpasses $\sim10^{19}$--$10^{20}$ cm$^{-3}$, further introduction of Mg does not lead to an increase in the hole concentration, see e.g. Ref. [6] and Refs. therein. The electrical activation of Mg as a $p$-type dopant requires its incorporation on the substitutional Ga site $S$Ga (MgGa) while Mg on other sites, e.g. interstitial Mg (Mg), or Mg replacing N (MgN), should be electrically inactive or even exhibit donor character. In the earlier stages of GaN research it was suggested from ab initio density functional theory calculations by Neugebauer and Van de Walle [7,8] that “incorporation of the Mg atoms on the N site or in an interstitial configuration were found to be negligible.” This view, however, was not shared by all theorists, e.g. Reboredo and Pantelides stated that “interstitial Mg plays a major role in limiting $p$-type doping” [9,10]. The failure of experimental methods in establishing the existence of interstitial Mg and investigating its properties probably contributed to the fact that in the following years many researchers adopted the opinion that the doping limitations at high Mg concentrations are, in addition to passivation by H [8,11], mostly a consequence of native defects with donor character, in particular N vacancies $V_N$, either in their isolated form [12,13] or in MgGa-$V_N$ complexes with Mg [14,15].

Recently this controversial discussion was revived by the results of hybrid density functional calculations of Miceli and Pasquarello [16] who concluded that “…the amphoteric nature of the Mg impurity is critical to explain the dropoff in the hole density observed experimentally”: once the doping limit has been reached, additional Mg atoms are not incorporated on substitutional Ga sites any more but on interstitial sites where they form compensating double donors, thus pinning the Fermi level. The reader is referred to Ref. [16] for a more detailed discussion of several arguments that support this theory from the viewpoint of electrical and optical characterization methods. Low formation energies for interstitial Mg, if the Fermi level is located below mid gap were also theoretically predicted by Reschikov et al. [17].

In contrast to the various refined but often contradictory theoretical models on the structural properties of Mg-related defects in GaN, no experimental data on the Mg lattice sites exist so far. The often used ion beam lattice location technique of Rutherford backscattering spectrometry/channeling (RBS/C) is not applicable in this case since Mg is much lighter than the Ga host atoms. Alternative ion beam detection methods such as particle-induced X-ray emission (PIXE) or nuclear reaction analysis fail at the low concentrations of Mg dopants. Attempts to use extended X-ray absorption fine structure (EXAFS) for characterizing the distances from Mg atoms to their nearest neighbors were not successful since the Mg K X-ray absorption edge overlaps with the Ga L-edge [18].

In this letter, we provide direct lattice location measurements for Mg in GaN using the $\beta^-$ emission channeling (EC) technique. We find that the majority of ion-implanted Mg occupies substitutional Ga sites. However, we present also direct experimental proof for the existence of interstitial Mg on positions near the so-called octahedral sites and we show that its fraction depends on the GaN doping character, being
enhanced in samples that were pre-doped $p$-type with stable Mg, and suppressed in Si-doped $n$-GaN. We also give experimental estimates for the migration energy of interstitial Mg, which are derived from its microscopic interaction with Ga vacancies.

The EC method allows probing the sites of radioactive isotopes in single-crystalline samples [19-21]. The radioactive probe atoms are implanted at low fluences and the emitted $\beta^-$ particles are guided by the crystal potential. A two-dimensional position-sensitive detector (PSD) [20,21] is used to measure the angle-dependent emission yield of electrons in the vicinity of major crystallographic directions, providing patterns which are characteristic for the probe atom lattice location in the sample. In case of Mg, the only radioactive isotope suitable for EC studies is the short-lived $^{27}$Mg ($t_{1/2}=9.45$ min) which can be produced at CERN’s ISOLDE on-line isotope separator facility by means of bombarding Ti targets with 1.4 GeV protons, followed by resonant laser ionization and mass separation [22]. This isotope was recently applied with success in the emission channeling study of the Mg lattice location in AlN [23].

The GaN layers used were grown by metal-organic chemical vapor deposition (MOCVD) at the University of Cambridge and based on 4.8 $\mu$m thick wurtzite single-crystal GaN epilayers on (0001) sapphire. The first type of sample simply consisted of the not intentionally doped epilayer (“n-GaN”), while for “$p$-GaN:Si” samples a 150 nm thick layer doped with $1\times10^{19}$ cm$^{-3}$ Si was deposited in addition. Mg predoped samples contained a 150 nm thick top layer doped during growth with $2\times10^{19}$ cm$^{-3}$ Mg. However, while “GaN:Mg” samples were used as-grown, “$p$-GaN:Mg” samples were annealed for 20 min at 800°C under nitrogen atmosphere in order to drive out H and electrically activate the Mg [24]. While no electrical characterization was performed for the $p$-GaN:Mg used in this experiment, typical hole concentrations and Hall mobilities for samples produced using the same equipment under almost identical conditions are $1-2\times10^{13}$ cm$^{-3}$ and 10-15 cm$^2$/Vs [25].

The EC measurements were performed simultaneously with 50 keV implantations into a 1 mm diameter beam spot using the on-line setup described in Ref. [26]. The resulting $^{27}$Mg profiles are approximately Gaussian with a typical depth of 488±244 Å. Due to its short half life of 9.45 min, there is hardly any $^{27}$Mg accumulation in the samples throughout the experiments, with peak concentrations remaining below $3\times10^{14}$ cm$^{-3}$, while its decay product $^{27}$Al is stable isotope isoelectronic to Ga. However, each implanted Mg atom initially creates several hundred Ga and N vacancies, which accumulate to some extent during the experiment according to the annealing history of the sample. In order to derive the fractions of $^{27}$Mg on different lattice sites, the experimental $\beta^-$ emission yields are fitted by theoretical patterns corresponding to $^{27}$Mg emitter atoms residing on a combination of different lattice sites. Calculating the theoretical $\beta^-$ patterns for GaN using the so-called “manybeam” formalism has been outlined in Refs. [27-29] while the fit procedure is described in Refs. [20-21,29]. The major lattice sites in GaN are shown in Fig. 1 while typical theoretical emission patterns for the major substitutional and interstitial sites are e.g. given in Refs. [23,28-29].

Figure 2(a) shows the $\beta^-$ emission distribution around the [0001] axis from $^{27}$Mg implanted at 200°C into $p$-GaN:Mg at the very low beam current of 0.20 pA into the 1 mm diameter beamspot, total fluence $1.1\times10^{11}$ cm$^{-2}$, and measured with the $3\times3$ cm$^2$ PSD at 30 cm from the sample, resulting in an angular resolution of $\sigma=0.1^\circ$. The fact that the [0001] direction and all major planes exhibit channeling effects proofs that the majority of $^{27}$Mg probes are aligned with the $c$-axis. However, comparing the experimental results to the theoretical pattern expected for 100% of $^{27}$Mg aligned with the $c$-axis [Fig. 2(c)], one recognizes that the set
axis, in order to pinpoint the location of probes occupying positions in the wide open interstitial region of the wurtzite lattice, such as O, HA, HB or HAB sites (cf. Fig. 1). The best fit of simulated patterns, corresponding to 74% on the first site was kept fixed at \(S_{Ga}\). The reduced \(\chi^2\) was normalized to the one considering only Mg on \(S_{Ga}\) sites. The adopted average value of the Mg displacement of \(\pm 0.06 \pm 0.14\) Å is shown by the blue line. (d), (f) and (h) are experimental patterns for the different axes obtained at 20°C, (e) and (i) are best fits of simulated patterns, corresponding to 74% on \(S_{Ga}\) and 13% on Mg.

of [01–10] planes has a lower intensity in comparison to [11–20] planes. This is a consequence of a minority of probes occupying positions in the wide open interstitial region of the wurtzite lattice, such as O, HA, HB or HAB sites (cf. Fig. 1) since for those sites the (01–10) planes show blocking effects while the (11–20) planes keep the same anisotropy as for sites aligned with the c-axis. Each data point corresponds to a two-site fit where the first site was kept fixed at \(S_{Ga}\). The reduced \(\chi^2\) was normalized to the one considering only Mg on \(S_{Ga}\) sites. The adopted average value of the Mg displacement of \(\pm 0.06 \pm 0.14\) Å is shown by the blue line. (d), (f) and (h) are experimental patterns for the different axes obtained at 20°C, (e) and (i) are best fits of simulated patterns, corresponding to 74% on \(S_{Ga}\) and 13% on Mg.

\[ \chi^2 = \frac{1}{\sigma^2} \sum \frac{(y_i - \text{fit}(x_i))^2}{\text{error}(y_i)} \]

where \(y_i\) are the experimental data points, \(\text{fit}(x_i)\) are the theoretical model predictions, \(\sigma\) is the assumed standard deviation of the errors, and \(\text{error}(y_i)\) are the uncertainties in the experimental data.

Table 1: Comparison of the experimental and simulated patterns for the different Mg fractions in the GaN:Mg samples.

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<th>Fraction</th>
<th>Experimental</th>
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<tr>
<td>74%</td>
<td>[01–10]</td>
<td>[0001]</td>
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<tr>
<td>13%</td>
<td>[11–20]</td>
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For that purpose the PSD was placed at 60 cm from the sample, which increased the angular resolution to \(\sigma = 0.05\)°, at the cost of a four times smaller solid angle. Hence these measurements had to be performed at relatively high beam currents around 1.5–3 pA, with fluences of \(1.5 \times 10^{12} \text{cm}^{-2}\) per pattern. As was immediately obvious, the experimental patterns could only be fitted well if the major fraction was located on \(S_{Ga}\). Introducing a second site which was moved in steps of 0.05 Å parallel to the c-axis showed that compared to the \(S_{Ga}\) single-site fits, the \(\chi^2\) always improves due to the additional degree of freedom provided by the fraction on the second site [Figs. 3(a)-(c)]. However, the \(\chi^2\) reaches minima at positions that are in between HA and HAB sites, displaced by about \(0.6\) Å from the ideal O sites, indicated as Mg, in Fig. 1. The best fit of simulated patterns, corresponding to 74% on \(S_{Ga}\) and 13% on these Mg sites, is displayed in Figs. 3 (e), 3 (g) and 3 (i).

In Fig. 4 the fraction of \(^{27}\text{Mg}\) on interstitial Mg sites is plotted as a function of the implantation temperature for the four different doping types of GaN, investigated at low and high implantation beam currents. For collecting these data each sample was once oriented with the [0001] facing the detector and then not moved again, only varying the implantation temperature and current, thus assuring maximum reproducibility of emission patterns and good control of the implantation fluence. Samples were first measured using beam currents of 0.20–0.27 pA at 20°C or 200°C, followed by implantations and measurements at higher temperatures, then the beam current was increased to 1.4–3.0 pA and the procedure repeated, taking precautions that previously implanted activity does not alter the outcome of the experiment [29]. Several characteristics are obvious. For measurements within the same beam current series the interstitial \(^{27}\text{Mg}\) fraction is clearly correlated with the doping type: \(^{27}\text{Mg}\) is more prominent in \(p\)-GaN:Mg than in \(n\)-GaN and lowest in \(n\)-GaN:Si. The second very clear characteristic is that for high implantation temperatures the interstitial fraction of \(^{27}\text{Mg}\) is reduced, reaching in all cases values around 0% at 800°C.

Third, for implantations at 200°C into \(p\)-GaN:Mg, there is a clear and pronounced influence of the fluence visible: dur-
ing the very first measurement (0.20 pA, Fig. 2) Mg, reached 31%, dropping to 23% in a subsequent measurement at 0.27 pA; having finished the annealing sequence to 800°C (a temperature at which no more interstitial Mg was found), re-implanting at 200°C with 1.4 pA resulted in 17% Mg only. Remarkably, in the case of n-GaN:Si, this trend is reversed: for the second sequence of measurements at higher implantation current the initially small fraction of Mg increased somewhat. In contrast, for nGaN the various data points for this sample at 20°C showed only a small decrease of the interstitial fraction from 12% to 9% while the accumulated beam charge increased from 70 to 4100 pC, corresponding to fluences of $5.5 \times 10^{19}$ to $3.2 \times 10^{12}$ cm$^{-2}$. Overall, we attribute these fluence-related effects as caused by the introduction of implantation damage, which, as it accumulates, shifts the Fermi level of a sample towards mid gap and also introduces more and more Ga vacancies. While the latter favours the formation of Mg$_{Ga}$ in all samples, the Fermi level shift is of minor consequences in nGaN but has opposite effects in p- and n-type samples (decrease of Mg in p- but increase in n-GaN), which are overlaid on the influence of temperature and doping.

The GaN:Mg as-grown sample shows a particularly intriguing behavior at low-current implantation. While at 200°C the $^{27}$Mg interstitial fraction was identical to nGaN, it significantly increased at 400°C, then dropping again at higher temperatures. The initial increase might indicate a shift in the Fermi level of this sample towards the valence band as a consequence of the 400°C annealing causing acceptor activation of pre-doped stable Mg, but such effects would need confirmation in further measurements.

The temperature dependence for high-current implantation into the GaN:Mg sample was used to estimate the migration energy ($E_M$) of interstitial Mg, applying two simple Arrhenius models. The models assume that Mg, that remained interstitial following implantation starts to migrate due to its thermal energy and that it requires a certain number of jumps $N$ until it encounters a Ga vacancy during the lifetime of $^{27}$Mg, which will lead to the formation of Mg$_{Ga}$ (see Ref. [23] for details). Besides an attempt frequency $\nu_0$, for which we have taken $2 \times 10^{13}$ Hz, corresponding to the 657 cm$^{-1}$ local mode assigned to Mg in GaN [38], $N$ was assumed ranging from 1 to $10^5$, where $N=1$ represents the limiting case in which the Mg has a neighboring Ga vacancy, and $N=10^5$ is the upper limit when the diffusion-induced widening of the Mg$_i$ profile becomes comparable to the implantation depth, which can be excluded since it would considerably deteriorate the channeling effects. According to the least square fits shown by the solid lines in Fig. 4, the migration energies are thus estimated between 2.0 eV and 1.3 eV, respectively. Theoretical predictions for $E_M$ [39-40] have suggested that the migration of Mg$_i$ in planes perpendicular to the c-axis is much faster than along the c-axis, with $E_M=0.15$ eV and $E_M=0.68$ eV, respectively. Our experimental estimates indicate a considerably higher $E_M$ value than these predictions. Several conflicting results on measuring the macroscopic diffusion coefficients of Mg in GaN have been reported in the literature, therefore suggesting that macroscopic diffusion of Mg is in fact trap-limited. While Chang et al. state that during 1.25 h at 1060°C “no significant diffusion/segregation effects were observed” [41], Köhler et al. have determined an activation energy $E_D$ of 5 eV in the temperature range 925-1050°C [42], while Benzarti et al. concluded 1.9 eV [43] and Pan and Chi 1.3 eV only [44]. The value of 1.9 eV would fit well with the microscopic migration energy estimated by us. However, Benzarti et al. based their analysis on only two measurements at $T=1090^\circ$C and 1130°C, which at such high temperatures may be a too limited temperature region in order to derive trustworthy parameters for $D_0$ and $E_D$ in the exponential function.

Some immediate consequences of our findings on the interpretation of GaN doping with Mg are obvious. If Mg is introduced by means of ion implantation the damage caused by the implantation process has two characteristic effects. First, it provides the Ga vacancies which are necessary for Mg to be incorporated on substitutional Ga sites. Second, accumulation of damage causes a shift of the Fermi level towards mid gap, which in the case of initially p-type GaN also results in an increase of substitutional Mg. Our results hence indicate the potential use of ion-beam assisted growth methods for improving Mg incorporation at substitutional sites. With respect to direct ion implantation of Mg, considerable success in achieving p-type doping was reported recently [45-46], once suitable annealing procedures were established to overcome the negative effects of implantation damage. In contrast, the fact that the Ga vacancy creation process is absent when GaN is doped with Mg during epitaxial growth, suggests that in this case the excess Mg will rather enter the layer interstitially. At the typical temperatures for growth of GaN layers (750–1100 °C, depending on method), interstitial Mg will be quite mobile inside the deposited layers. If no Ga vacancies are available, diffusing Mg will also pair with other suitable defects, forming e.g. Mg$_{Ga}$-Mg$_{V}$ and similar complexes, as well as precipitates. Such a mechanism would cause Mg$_i$-related complexes to contribute to the lack of electrical activation, particularly at high Mg concentrations, not only H or V$_N$ related ones, which seems to be the widely accepted belief.

In summary, while Mg is found on substitutional Ga sites following ion implantation, we have also provided direct experimental evidence for interstitial Mg$_i$ near octahedral sites. The amphoteric nature of Mg coupled to the Fermi level was proven by the fact that the abundance of interstitial Mg$_i$ is substantially increased in p-type GaN but suppressed in n-GaN. The activation energy for migration of Mg$_i$ is estimated as $E_M=1.3–2.0$ eV from its conversion to substitutional Mg$_{Ga}$ above 350°C.

We thank the ISOLDE collaboration at CERN for developing and providing the $^{27}$Mg beams. This work was funded by the Portuguese Foundation for Science and Technology (FCT) through project CERN/PRIS-NUC/0004/2015 and the strategic project UID/Multi/04349/2013, the FWO Vlaanderen, and the KU Leuven (GOA/09/006, GOA/14/007, and BOF-STRT/14/002). The ISOLDE beam times were supported by the European Commission through